

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Jay S. Walker et al. )  
For: METHOD AND SYSTEM FOR ) Examiner: Not Assigned Yet  
ADAPTING GAMING DEVICES TO )  
PLAYING PREFERENCES )  
Serial No.: Not Assigned Yet ) Group Art Unit: 3713  
)  
Filing Date: January 11, 2000 ) Docket No.: 96-136X



Assistant Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Applicants submit herewith patents, publications or other information of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a thorough search has been made, an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists. Nor shall the filing of this information disclosure statement be construed as an admission against interest in any manner.

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed below and on the accompanying Form PTO-1449 may be deemed to be pertinent to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider these items and to independently ascertain their teaching.

- A. U.S. Patent No. 4,764,666 entitled "ON-LINE WAGERING SYSTEM WITH PROGRAMMABLE GAME ENTRY CARDS" issued to Daniel R. Bergeron on August 16, 1988.
- B. U.S. Patent No. 4,880,237 entitled "TOKENLESS SLOT MACHINE SYSTEM" issued to Ryutaro Kishishita on November 14, 1989
- C. U.S. Patent No. 5,132,900 entitled "METHOD AND APPARATUS FOR LIMITING MANIPULATION OF DOCUMENTS WITHIN A MULTI-DOCUMENT RELATIONSHIP IN A DATA PROCESSING SYSTEM" issued to Gilchrist et al. on July 21, 1992.
- D. U.S. Patent No. 5,459,825 entitled "SYSTEM FOR UPDATING THE LOCATIONS OF OBJECTS IN COMPUTER DISPLAYS UPON RECONFIGURATION" issued to Anderson et al. on October 17, 1995.
- E. U.S. Patent No. 5,546,523 entitled "ELECTRONIC FUND TRANSFER SYSTEM" issued to James G. Gatto on August 13, 1996.
- F. U.S. Patent No. 5,561,811 entitled "METHOD AND APPARATUS FOR PER-USER CUSTOMIZATION OF APPLICATIONS SHARED BY A PLURALITY OF USERS ON A SINGLE DISPLAY" issued to Eric A. Bier on October 1, 1996.
- G. U.S. Patent No. 5,741,183 entitled "METHOD AND APPARATUS FOR OPERATING NETWORKED GAMING DEVICES" issued to Acres et al. on April 21, 1998.
- H. U.S. Patent No. 5,770,533 entitled "OPEN ARCHITECTURE CASINO OPERATING SYSTEM" issued to John Franco Franchi on June 23, 1998.
- I. U.S. Patent No. 5,816,917 entitled "FLOPPY-DISK ENTERTAINMENT AND GAMBLING SYSTEM FOR PERSONAL COMPUTERS" issued to Kelmer et al. on October 6, 1998.
- J. U.S. Patent No. 5,779,549 entitled "DATABASE DRIVEN ONLINE DISTRIBUTED TOURNAMENT SYSTEM" issued to Walker et al. on July 14, 1998.
- K. "Casino's Computers Keep Tabs on Losers", The Chicago Tribune; Section: Business; Pg. 14; October 2, 1985.
- L. Harvey Fisher, "Casino Law Ends Cash Rebates for Big-Time Losers", The Record, Section: News; Pg. A05; January 15, 1988.
- M. Bill Kent, "New Boardwalk Barter; Atlantis Introduces a Token as Enticement", The Record; Section: Lifestyle/Preview; Pg. 032; July 22, 1988.
- N. Murray Raphel, "Casinos Gamble on Direct Mail; Atlantic City Casinos; Retail; Industry Overview", Hotel & Motel Management; Direct Marketing Magazine; Section: Vol. 54; No. 10; Pg. 32; February 1992.
- O. David H. Freedman, "Odds Man In", Forbes; Section: Forbes ASAP; Quick Studies; Pg. 33; October 25, 1993.
- P. Stephen Franklin, "Pinball Power Puts Money Into The Slots", Chicago Tribune; Section: Business; Pg. 1; Zone C; May 9, 1994.
- Q. J. Salmon-Heyneman, "Gaming propels fastest growing publicly traded firms in valley", Las Vegas Business Press; Vol. 11; No. 27; Sec. 1; Pg. 11; July 11, 1994.
- R. John Grochowski, "Casino Insider Offers A Guide to Freebies", Chicago Sun-Times; Section: Weekend Plus; Gaming; Pg. 31; NC; August 12, 1994.

- S. Timothy N. Troy, "High stakes technology; technology for guest retention management", Hotel & Motel Management, Vol. 209; No. 16; Pg. 27; September 19, 1994.
- T. Timothy N. Troy, "Flexibility a key for vendors betting on casinos; Micros Systems Inc.'s 8700 point-of-sale system", Hotel & Motel Management; Section: Vol. 209; No. 16; Pg. 27; September 19, 1994.
- U. John Gochowski, "Riverboat Comp Systems; Cracking a Case in Point", Chicago Sun-Times; Section: Weekend Plus; Gaming; Pg. 13; March 17, 1995.
- V. Debra Sherman, "Company Launches New Player Tracking System", The Reuter Asia-Pacific Business Report; October 20, 1995.
- W. Kate Berry, "Anchor Gaming, Inc.", Investor's Business Daily; Section: The New America; Pg. A6; May 2 1996.
- X. Jake Mattox, "Huntington Press; Publishing the Books on Las Vegas", Las Vegas Business Press; Section: Vol. 13; No. 51; Pg. 3; September 16, 1996.
- Y. John Gochowski, "Comp points add up faster playing slots", Chicago Sun-Times; Section: Weekend Plus; Gaming; Pg. 23; November 8, 1996.

1. [ ] Any copy of the items listed above and on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in the prior [ ] Continuation, [ ] Divisional or [ ] Continuation in part application filed under 37 C.F.R. §§ 1.53 or 1.60, U.S. Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
2. [ ] For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a European Search Report from a counterpart European application is enclosed. Such items are marked as reference letter(s): \_\_\_\_\_.
3. [ ] For each of the following items listed above and on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application. Such items are marked as reference letter(s): \_\_\_\_\_.
4. [ ] In addition to the citations listed above, applicants respectfully direct the Examiner's attention to the following U.S. patent applications, which are commonly assigned to the assignee of the instant application, and which may be deemed pertinent to the instant application: \_\_\_\_\_.

<u>Serial No.</u>	<u>Inventors</u>	<u>Filing Date</u>	<u>Group Art Unit</u>	<u>Examiner's Init.</u>
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The Examiner is respectfully requested to fully consider and independently ascertain the teachings and relevance of those applications with respect to the instant application.

5. [X] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
  - [X] 37 C.F.R. §1.97(b)(1), within three months of the filing date of the above-identified application.
  - [ ] 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491.
  - [ ] 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office Action on the merits.
6. [ ] No fee is believed to be due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. 1.97(c), after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below.

7. [ ] A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c) after the period specified in paragraph 5 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action). The fee due under 37 C.F.R. §1.17(p) is to be paid as set forth in paragraph 11 below.

8. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in accordance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a Notice of Allowance, but before the payment of the issue fee, and is accompanied by:

- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 10 below; and
- b. this document is to be considered as a petition requesting consideration of the information disclosure statement.
- c. the fee due under 37 C.F.R. §1.17(i)(1) which is paid as set forth in paragraph 11 below.

9. [ ] A fee is due under 37 C.F.R. §1.17(i)(1) for this Information Disclosure Statement since it is being filed in compliance with:

[ ] 37 C.F.R. §1.313(b)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition to Withdraw Application from Issue;

[ ] 37 C.F.R. §1.313(b)(5), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition to Withdraw Application from Issue.

[ ] The fee due under 37 C.F.R. §1.17(i)(1) is paid as set forth in paragraph 11 below.

10. [ ] I hereby certify:

[ ] that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. A copy of such communication is enclosed.

[ ] that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

11. [ ] Please accept payment of the fees due as indicated below:

- [ ] A check in the amount of \$240.00 is enclosed in payment of the fee due under 37 C.F.R. 1.17(p).
- [ ] The Commissioner is hereby authorized to charge \$240.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(p). A duplicate copy of this sheet is attached for such purpose
- [ ] A check in the amount of \$130.00 is enclosed in payment of the fee due under 37 C.F.R. §1.17(i)(1).
- [ ] The Commissioner is authorized to charge \$130.00 to Deposit Account No. 50-0271 in payment of the fee due under 37 C.F.R. §1.17(i)(1). A duplicate copy of this sheet is attached.

12. [ X ] The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 50-0271. A copy of this authorization is attached.

Respectfully submitted,



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